# USA Swimming ATHLETE SELECTION PROCEDURES 2019 FINA World Championships

The 2019 FINA World Championships (the "Championships") will be conducted in Gwangju, South Korea. Swimming competition dates will be July 21-28, 2019.

#### DEFINITIONS

Available Swimmer. "Available Swimmer" means a swimmer who (i) qualifies to be Selected to the Team in an Event according to these Selection Procedures and has complied with all eligibility requirements set forth in Sections 1.1-1.2 of these Selection Procedures; (ii) satisfies the applicable A or B Time Standard requirement for the Event in which he/she is an Available Swimmer; (iii) certifies to USA Swimming that he/she remains available to swim through the completion of the Championships; and (iv) indicates in writing that he or she will accept the invitation to be Selected to the Team when that invitation is offered by USA Swimming and to swim in the Event for which he/she qualifies in the Championships (except as otherwise provided herein). If a swimmer does not satisfy these requirements, then he/she is not considered an Available Swimmer.

<u>"A" Time Standard.</u> An "A" Time Standard is a time standard developed by FINA for those wishing to compete in individual Events at the FINA World Championships. "A" Time Standards can be achieved in competitions approved for that purpose by FINA from March 1, 2018 through July 12, 2019. FINA Qualification Time Standards are included in Attachment A.

<u>"B" Time Standard</u>. A "B" Time Standard is a time standard developed by FINA for the World Championships. "B" Time Standards can be achieved in competitions approved for that purpose by FINA from March 1, 2018 through July 12, 2019. FINA Qualification Time Standards are included in Attachment A.

<u>Event</u>. "Event" refers to those individual pool competition events contested at the Championships and the Qualifying Competitions, including Individual Olympic Events and Individual Non-Olympic Events.

<u>FINA Points Table, 2018</u>. "FINA Points Table, 2018" means the applicable FINA Points Table (i.e., men or women) updated as of February 2018, for 2018, and found on the website <a href="http://fina.org/content/fina-points">http://fina.org/content/fina-points</a>.

<u>Finals</u>. "Finals" means the Final heat that is conducted in the Qualifying Competitions from which the Qualifying Competitions fastest times are determined. The combined results of the Finals will be used to determine the fastest times. For the Nationals, this means the "A" finals only, and for the Pan Pacific Championships, this means the "A" and "B" finals.

<u>Individual Olympic Event</u>. "Individual Olympic Event" shall refer to those competition events (i) for individuals (as opposed to relays), (ii) held in long course

meters only, (iii) which are held in the Olympic Games, and (iv) which are also held at the Championships and Qualifying Competitions.

<u>Individual Non-Olympic Event</u>. "Individual Non-Olympic Event" shall refer to those competition events (i) for individuals (as opposed to relays), (ii) held in long course meters only, (iii) which are <u>not</u> held in the Olympic Games, but (iv) which are held at the Championships and the Nationals.

<u>Head Coach</u>. Unless otherwise indicated, "Head Coach" refers to the Head Coach of the Team for the applicable gender (i.e. the Men's Team Head Coach or Women's Team Head Coach).

Modified World Ranking. See "World Ranking," below.

<u>National Team Managing Director</u>. "National Team Managing Director" refers to the USA Swimming National Team Managing Director, or her designee.

Nationals. "Nationals" shall refer to the 2018 Phillips 66 USA Swimming National Championships, July 25-29, 2018, held in Irvine, CA.

Pan Pacific Championships. "Pan Pacific Championships" refers to the 2018 Pan Pacific Championships, August 9-12, 2018, held in Tokyo, Japan.

Qualifying Competitions. "Qualifying Competitions" mean the Nationals and the 2018 Pan Pacific Championships. Times will only be considered from the Finals (A finals only at Nationals, A and B finals at the Pan Pacific Championships). Times from other races, including without limitation the preliminary heats, relay lead-offs, intermediate splits, time trials, swim offs, and B finals at the Nationals will NOT be considered for selection, but may be considered in making other determinations as described herein; except that, in the women's and men's 800m and the women's and men's 1,500m Freestyle, all finishing times will be considered from the Nationals and the Pan Pacific Championships.

Relay-Only Swimmer. A "Relay-Only Swimmer" means a swimmer who has qualified for the Team (prior to implementation of FINA limits on the number of Relay-Only Swimmers as provided in Section 1.3.5 of these Selection Procedures) but has not qualified to swim in an individual Event.

<u>Select/Selected/Selection to the Team</u>. "Select/Selected/Selection to the Team" refers to the Team Selected by USA Swimming on or before October 1, 2018.

<u>Team</u>. "Team" means the 2019 FINA World Championships Team announced on or before October 1, 2018, plus any changes or replacements made pursuant to these Selection Procedures.

<u>Technical Advisor</u>. "Technical Advisor" refers to the USA Swimming National Team Technical Advisor, or his/her designee.

World Ranking. "World Ranking" means the World Ranking list downloaded from the <u>fina.org</u> website at approximately 8:00 a.m. MT on August 20, 2018 and covering the period of January 1, 2017 through and including August 12, 2018.

Modified World Ranking. "Modified World Ranking" means the World Ranking list as modified by integrating all times from the Finals of the Qualifying Competitions. An athlete's Modified World Ranking shall be determined by his/her time from the Finals of the Qualifying Competitions.

#### 1. SELECTION SYSTEM

- 1.1. Provide the minimum eligibility requirements for an athlete to be considered for Selection to the Team:
  - 1.1.1 In order to be considered for Selection to the Team, an athlete must, at the beginning of the Qualifying Competitions and at the time of Selection to the Team:
    - (a) be a registered athlete member of USA Swimming in good standing;
    - (b) be a citizen of the United States; and
    - (c) be eligible to represent the United States in the Championships.

In order to be considered for Selection to the Team, an athlete must, at the time of Selection to the Team, be an Available Swimmer.

1.1.2 Nationality/Passport requirements:

Athlete must hold a valid U.S. passport that will not expire for six months after the conclusion of the Championships.

- 1.1.3 Minimum International Federation (IF) standards for participation:
  - (a) To ensure Selection to the Team, all athletes qualifying to swim in an individual Event at the Championships must equal or better the A Time Standard set by FINA for that Event during the applicable time period established by FINA (March 1, 2018-July 12, 2019) in a competition approved for that purpose by FINA (competitions can be found at fina.org). Per FINA rules, USA Swimming will be allowed to enter two athletes in an Event at the Championships only if both athletes have equaled or bettered the A Time Standard in that Event in a competition approved for that purpose by FINA.
  - (b) An athlete may also qualify to be Selected to the Team by equaling or bettering the B Time Standard. If the athlete who has the fastest time in the Finals of an Event only has a B Time Standard within the time period, then USA Swimming will only be eligible to enter one athlete in that Event. All Relay-Only Athletes must also have at least a B Time Standard in order to qualify for the Team. See Section 1.3.4 for the requirements relating to A and B Time Standards. See Attachment A for A and B Time Standards for each Event.
- 1.1.4 Other requirements (if any):

- (a) An athlete must qualify for the Qualifying Competitions, as described in Section 1.2, and compete in either or both of the Qualifying Competitions.
- (b) Each swimmer that has been Selected to the Team must sign, return and abide by the applicable competition forms for the Team (forms will be made available prior to selection).
- (c) Each swimmer that has been Selected to the Team must comply with all applicable rules and requirements of FINA, WADA, USADA, and USA Swimming, including without limitation USA Swimming policies and protocols regarding Team preparation and participation, through the Championships.
- (d) To ensure that USA Swimming selects the best possible team for the World Championships, all swimmers who qualify for the World Championships are ineligible for selection to the 2019 World University Games Team and the 2019 Pan American Games Team unless otherwise agreed to in writing by USA Swimming. Athletes will be allocated to the teams for these three competitions in the following order of importance to USA Swimming and must swim in the competition to which they are assigned, unless otherwise excused pursuant to these Selection Procedures:
  - i. 2019 World Championships
  - ii. 2019 World University Games
  - iii. 2019 Pan American Games
- (e) Section 1.1.4(d) will not apply to the following swimmers if, and only if, they have qualified for the Team and they meet all other requirements, via their respective selection procedures to qualify for the 2019 World University Games and the 2019 Pan American Games:
  - (i) swimmers who qualify for the Championships solely as a Relay-Only Swimmer;
  - (ii) swimmers who qualify for the Championships solely in Individual Non-Olympic Events

#### 1.2 Tryout Events:

1.2.1 Provide the event names, dates and locations of all trials, events and camps to be used as part of the selection process:

The Qualifying Competitions

- 1.2.2 Provide event names, dates, locations and description of how athletes qualify for the trials, events and camps listed above in 1.2.1 (if any):
  - (a) The Qualifying Competitions will be the selection competitions for the Championships. To qualify for the Nationals, an athlete must achieve an applicable Nationals time standard between June 1, 2017 and the entry deadline. The Nationals time standards can be found at <a href="https://www.usaswimming.org/Home/times/time-standards">https://www.usaswimming.org/Home/times/time-standards</a>

(b) To qualify for the Pan Pacific Championships, athletes must meet the requirements set forth in the applicable selection procedures for the 2018 Pan Pacific Championships (posted at usaswimming.org).

#### 1.3 Comprehensive Selection Process:

This Section 1.3 sets forth the steps by which Available Swimmers will be selected for the Team. The process of determining who is eligible to be Selected to the Team will take place at or before October 1, 2018, after completion of the Qualifying Competitions. The results from the application of these Selection Procedures will be announced on or before October 1, 2018. The specific steps for determining the athletes to be Selected to the Team are as follows:

- 1.3.1 Team Size. The maximum allowable Team size is twenty-six (26) men and twenty-six (26) women. The actual Team size will be determined once these Selection Procedures have been implemented. For purposes of these Selection Procedures, the men's and women's components of the Team will be considered separately (except for applying the maximum number of Relay-Only Swimmers, as described in Section 1.3.5). USA Swimming is under no obligation to Select to the Team a full team of 26 athletes in each, or either, gender.
- 1.3.2 Qualifying Competitions. Performances at the Qualifying Competitions, from among those eligible to compete in the Qualifying Competitions as provided in Section 1.2 above, will be used to determine the Team. Performances at the Qualifying Competitions will be prioritized using the priority system described below. The best finishing Available Swimmers will be subject to the A and B Time Standard requirements, and the FINA limits placed on Relay-Only Swimmers, all as described below, to determine those to be Selected to the Team.
- 1.3.3 Application of Priorities for Team Selection. Subject to Sections 1.3.4 and 1.3.5 below, performances in the individual Events at the Qualifying Competitions will be prioritized for each gender as described in this Section 1.3.3. These Priorities will be applied on or before October 1, 2018, after completion of the Qualifying Competitions. Swimmers not qualifying via one of these priorities will not be eligible to be Selected to the Team unless they are subsequently added to the Team as a replacement according to the process set forth in Section 4. If, after applying the priorities set forth below, as well as the procedures set forth in Sections 1.3.4 and 1.3.5, there are fewer than twenty-six (26) men or twenty-six (26) women, or both, on the Team, then USA Swimming will not field a full Team, unless the Team is filled as a result of adding one or more replacements as provided in Section 4.
  - (a) Priority #1. The first priority will be comprised of both (i) the combined four fastest times from the Finals among those Available Swimmers at the Qualifying Competitions in each of the 100-meter and 200-meter freestyle Events, and (ii) the combined fastest time from the Finals among those Available Swimmers at the Qualifying Competitions in each of the Individual Olympic Events other than the 100-meter and 200-meter freestyle.
  - (b) Priority #2. The second priority will be comprised of the combined second fastest time from the Finals among Available Swimmers at the Qualifying Competitions in

- each of the Individual Olympic Events other than the 100-meter and 200-meter freestyle.
- (c) Priority #3. The third priority shall include the highest placing Available Swimmer in the Finals at the Nationals in the Individual Non-Olympic Events. No more than one Available Swimmer may be selected in each Individual Non-Olympic Event.
- (d) Priority #4. The fourth priority will be comprised of the Available Swimmer who has the combined fifth fastest time from the Finals of the Qualifying Competitions in each of the 100-meter and 200-meter freestyle.
- (e) Priority #5. The fifth priority will be comprised of the Available Swimmer who has the combined sixth fastest time from the Finals of the Qualifying Competitions in each of the 100-meter and 200-meter freestyle.

#### 1.3.4 Application of A and B Time Standards.

- (a) Subject to the application of the priorities described in Section 1.3.3 above and the other rules set forth in this Section 1.3.4, it is generally anticipated that the two fastest times among Available Swimmers from the Finals in each Individual Olympic Event at the Qualifying Competitions will qualify for the Team and the athletes who qualify via Section 1.3.3(c) will qualify for the Team will be given the opportunity to swim the corresponding individual Event at the Championships (for example, the top two fastest times among Available Swimmers in the 200m butterfly from the Qualifying Competitions will swim the 200m butterfly at the Championships). However, FINA has a requirement that, in order for two U.S. athletes to compete in an individual Event at the Championships, both athletes must have swum an A Time Standard in a competition approved for that purpose by FINA. Note: the A Time Standard does not have to be done at the Qualifying Competitions, but must be done during the March 1, 2018 to - July 12, 2019 timeframe in a competition approved for that purpose by FINA. The applicable FINA qualifying procedures are set forth in Attachment A.
- (b) If the Available Swimmer in an Event with the fastest time from the Finals at the Qualifying Competitions has a B Time Standard, and the Available Swimmer with the second fastest time from the Finals at the Qualifying Competitions has an A Time Standard, the Available Swimmer finishing with the fastest time at the Qualifying Competitions will be Selected to the Team and will swim that Event at the Championships. The Available Swimmer with the second fastest time would not be Selected to the Team for that Event nor would he/she swim in that Event at the Championships.
- (c) If the Available Swimmer with the second fastest time in an Individual Olympic Event in the Finals at the Qualifying Competitions does not achieve an A Time Standard in a competition approved for that purpose by FINA by the July 12, 2019 deadline, the next fastest Available Swimmer with the fastest time from the Finals in that Event at the Qualifying Competitions will be eligible for Selection to the Team in place of the athlete who does not have the A Time Standard, provided that the replacement athlete has achieved an

A Time Standard and satisfies all other requirements for Selection to the Team. If the athlete who stands to be replaced has qualified to be Selected to the Team in another Event and he/she has achieved the A Time Standard in that other Event, then he/she will not be removed from Selection to the Team (unless required under another provision of these Selection Procedures) but will be removed from the Event in which he/she has not achieved the required A Time Standard. If the next best finishing Available Swimmer does not have an A Time Standard in a competition approved for that purpose by FINA, then the subsequent Available Swimmer with the fastest time from the Finals in that Event at the Qualifying Competitions will be Selected to the Team in place of the athlete(s) who do not have the A Time Standard, provided that the replacement athlete has an A Time Standard and satisfies all other requirements for Selection to the Team. This process will repeat until an Available Swimmer who has an A Time Standard is eligible for Selection to the Team or there are no other Available Swimmers who may be eligible for Selection to the Team in that Event. If there are no other Available Swimmers with an A Time Standard from a competition approved by FINA for that purpose, then USA Swimming will only Select one Available Swimmer to the Team in that Event.

#### 1.3.5 Selection of Relay-Only Swimmers.

USA Swimming is limited to a total of 12 (combined between men and women) Relay-Only Swimmers on the Team (see Attachment A for the language of this rule). If, after applying the procedures set forth in Sections 1.3.3 and 1.3.4 above, there are more than 12 Relay-Only Swimmers who stand to be Selected to the Team, then USA Swimming will use the process set forth in this Section 1.3.5 to determine who will be Selected to the Team.

(a) Ranking Process. In the event that more Relay-Only Swimmers stand to be Selected to the Team than allowed under FINA rules, the Relay-Only Swimmers will be ranked within their priority according to the Modified World Ranking list. Relay-Only Swimmers with the best Modified World Ranking will be eligible for Selection to the Team in order of priority. Relay-Only Swimmers will be added to the list of those to be Selected to the Team in order of priority until the maximum number of Relay-Only Swimmers (under the FINA rule) is obtained or the Team is full, whichever comes first.

Relay-Only Swimmers must meet the B Time Standard Requirement. Per FINA rules, only those Relay-Only Swimmers who have the satisfied the B Time Standard for the Event in which they have qualified for the Team will be eligible for Selection to the Team. The B Time Standard must be achieved in the Event through which he/she qualifies for the Team during the applicable time period established by FINA (March 1, 2018 – July 12, 2019) at a competition approved by FINA for that purpose (FINA-approved competitions can be found at fina.org). Any Relay-Only Swimmer who would otherwise stand to be Selected to the Team who fails to satisfy the B Time Standard requirement will not be eligible to be Selected to the Team. See Attachment A for applicable B Time Standards.

1.3.6 Procedure if Selection to the Team Stands to Exceed 26 Per Gender. If the application of the procedures set forth in Sections 1.3.3 and 1.3.5 results in a list of either more than twenty-six (26) male or more than twenty-six (26) female swimmers (or both) to be

Selected to the Team, then the swimmers of the gender with more than 26 swimmers to be Selected to the Team shall be ranked and Selected to the Team according to the following procedure:

- (a) All swimmers from Priority #1 shall be Selected to the Team.
- (b) All swimmers from Priority #2 will be Selected to the Team; provided, however, that if adding all swimmers from Priority #2 would result in more than twenty-six (26) swimmers for a gender to be Selected to the Team, then the swimmers within Priority #2 shall be Selected to the Team in order of the best Modified World Ranking for the Event for which they qualify until a maximum of twenty-six (26) swimmers for that gender are Selected to the Team.
- (c) If all Priority #2 swimmers are Selected to the Team and the maximum Team size of twenty-six (26) swimmers per gender has not been attained, then the same procedure of using the best Modified World Rankings will be used to rank the swimmers from Priority #3, and Priority #3 swimmers will be Selected to the Team in order of their Modified World Rankings until the team is full. If, after adding Priority #3 swimmers to the list of those to be Selected to the Team, the Team is not full, then Priority #4 swimmers will be Selected to the Team in order of their Modified World Rankings until the team is full. If, after adding Priority #4 swimmers to the list of those to be Selected to the Team, the Team is not full, then Priority #5 swimmers will be Selected to the Team in order of their Modified World Rankings until the team is full, or until the list of Available Swimmers from Priority #1 through Priority #5 has been exhausted.

#### 1.3.7 Breaking a Tie.

- (a) Tie in Same Event. In the instance where there is a tie between two swimmers in the same Individual Olympic Event for first place, both swimmers will be classified under Priority #1.
- (b) In the instance where there is a tie at Nationals, between three or more swimmers for first place in the same Individual Olympic Event and all Available Swimmers qualify for the Pan Pacific Championships, then the tie will be broken based on the fastest time from the Finals at the Pan Pacific Championships; provided, however, that if one of the Available Swimmers involved in the tie declines to swim at the Pan Pacific Championships for any reason other than injury or absence excused by USA Swimming, then the Available Swimmer(s) who competes at the Pan Pacific Championships will be considered to have won the tie-breaker in that Event. If this tie breaker fails to break the tie, a swim- off will be used to break the tie at a time determined by the National Team Managing Director, Technical Advisor, the athletes and their respective coaches. The swimmers will be assigned to their respective Priorities based on their finish in the swim-off(s).
- (c) In the instance where a tie occurs in an Individual Non-Olympic Event for first place at Nationals, a swim-off will be conducted at Nationals at a time determined by the National Team Managing Director and Technical Advisor in collaboration with the Available Swimmers and their respective coaches. If the initial swim-off fails to break the tie, this process will continue until the tie is broken. The

- swimmers will be assigned to their respective Priorities based on their finish in the swim-off(s).
- (d) In the instance where a tie occurs for Priority #1, 2, 4 or 5 in an Individual Olympic Event at the Pan Pacific Championships or via the combined results of the Qualifying Competitions, a swim off in that Individual Olympic Event will be conducted at the Pan Pacific Championships at a time determined by the National Team Managing Director and Technical Advisor in collaboration with the Available Swimmers and their respective coaches. If the initial swim-off fails to break the tie, this process will continue until the tie is broken. The swimmers will be assigned to their respective Priorities based on their finish in the swimoff(s).
- (e) If the tie occurs when integrating times from a swim occurring at the Nationals and a swim occurring at the Pan Pacific Championships, and both Available Swimmers are in attendance at the Pan Pacific Championships but are not both swimming in the applicable Individual Olympic Event, a swim-off will be conducted at the Pan Pacific Championships at a time determined by the National Team Managing Director and Technical Advisor in collaboration with the Available Swimmers and their respective coaches. If the initial swim-off fails to break the tie, this process will continue until the tie is broken. The swimmers will be assigned to their respective Priorities based on their finish in the swim-off(s).
- (f) The swim-off results will only be used to determine places of those involved in the swim-off and may not be used to change the finish order otherwise determined at the Qualifying Competitions (for example, the winner of the swim-off between the Available Swimmers with the second and third fastest times from the Finals at the Qualifying Competitions may not use his/her time in the swim-off to move to Priority #1 if the swim-off time is better than the fastest time at the Qualifying Competitions).
- (g) Tie in Determining Selections to Team Involving Swimmers from Different Events. In the instance where the application of Modified World Rankings is used to rank swimmers to be Selected to the Team (e.g. Sections 1.3.6(b)-(c)), and where the application of the Modified World Rankings results in a tie between two or more swimmers in different Events for the final position(s) on the Team, the tie will be broken as follows:
  - (i) Each swimmer's fastest performance swum in his/her Event at the Finals of the Qualifying Competitions will be ranked according to the FINA Points Table, 2018. The swimmer who has the highest ranking shall be Selected to the Team.
  - (ii) If this fails to break the tie, each swimmer's fastest performance swum in his/her Event at the Finals of the Qualifying Competitions will be converted into a ratio, using the swimmer's Qualifying Competitions time as the denominator, and the FINA-approved World Record for that Event as of August 13, 2018 as the numerator, and the swimmer(s) with the highest percentage shall be Selected to the final position(s) on the Team. A World Record set at the

- Qualifying Competitions would not qualify as a FINA-approved World Record because the record would not yet be officially approved by FINA.
- (iii) If this fails to break the tie, the fastest time swum by each swimmer in his/her Event during the period from January 1, 2018 through August 12, 2018 will be ranked according to the Modified World Ranking lists, and the swimmer(s) will be Selected to the Team in order of the best ranking.
- (iv) If this fails to break the tie, then the swimmer with the highest Modified World Ranking in an Individual Olympic Event other than his/her Event involved in the tie shall be Selected to the Team (e.g. if a swimmer's results in the 100m freestyle are involved in the tie, then that swimmer's highest Modified World Ranking in an Individual Olympic Event other than the 100m freestyle shall be considered).
- (v) If this fails to break the tie, each swimmer's fastest performance swum in an Individual Olympic Event at the Finals of the Qualifying Competitions other than the Event that is the subject of the tie will be ranked according to the FINA Points Table, 2018. The swimmer who has the highest ranking shall be Selected to the Team. If only one swimmer involved in the tie swam a second Event at the Qualifying Competition, then that swimmer will be Selected to the Team.

<u>Announcement</u>. Those officially Selected to the Team will be announced on or before October 1, 2018.

- 1.3.8 Selection of Swimmers for Individual Olympic Events.
  - (a) The two Available Swimmers with the fastest times from the Finals in all Individual Olympic Events at the Qualifying Competitions will be given the opportunity to swim the corresponding Individual Olympic Event at the Championships (for example, the top two fastest times in the 200m butterfly at the Qualifying Competitions will swim the 200m butterfly at the Championships), provided that they meet the requirements in Section 1.3.4.
  - (b) If the best finishing swimmer does not achieve the A Time Standard in an Individual Olympic Event, but achieves a B Time Standard, he/she will be considered an Available Swimmer, and there will not be a second U.S. swimmer in that Individual Olympic Event.
  - (c) If the Available Swimmer with the fastest time from the Finals at the Qualifying Competitions has achieved the A Time Standard in an Individual Olympic Event but the Available Swimmer with the second fastest time from the Finals has not achieved the A Time Standard in that Individual Olympic Event either at the Qualifying Competitions or at any other competition approved for that purpose by

FINA from March 1, 2018 through July 12, 2019, then the Available Swimmer with the second fastest time from the Finals at the Qualifying Competitions will not swim in that Individual Olympic Event (and may not be Selected to the Team – see Section 1.3.4), and the second spot will be filled according to the process set forth in Section 1.3.4.

- (d) If a Priority 2 swimmer fails to make the Team because the Team is full, then the swimmer already on the Team who has recorded the fastest time in that vacant Individual Olympic Event during the period from March 1, 2018 through June 1, 2019 will serve as the replacement in that Event, provided that he/she has achieved the A Time Standard in that Individual Olympic Event.
- (e) If there is a vacancy in an Individual Olympic Event that arises on or after 11:59 a.m. MT on July 12, 2019, the replacement process set forth in Section 4 will be used to fill the vacancy in that Individual Olympic Event.
- 1.3.9 Selection of Swimmers for Individual Non-Olympic Events.
  - (a) For Individual Non-Olympic Events at the Championships (M/W 50 Butterfly, M/W 50 Backstroke, M/W 50 Breaststroke), athletes will qualify to swim their respective Individual Non-Olympic Event via the following selection method, subject to meeting the A and B Time Standards as provided in Section I.3.5: (USA Swimming, via FINA rules, is allowed up to two swimmers per event):
    - (i) USA Entry Opportunity #1 The first opportunity will be given to the Available Swimmer selected to the Team based on Priority #3 in Section 1.3.3. (the highest finishing swimmer in the Individual Non-Olympic Event).
    - (ii) USA Entry Opportunity #2 The second opportunity will be given to the Available Swimmer who has qualified for the Team under Priority #1 (as part of Section 1.3.3. fastest time in Finals at the Qualifying Competitions) in the corresponding Individual Olympic Events listed below, provided USA Entry Opportunity #1 and USA Entry Opportunity #2 have met the A Time Standard.

First Offer to Those on Team In:		Non-Olympic Event
Men's/Women's 100 Butterfly	for	Men's/Women's 50 Butterfly
Men's/Women's 100 Backstroke	for	Men's/Women's 50 Backstroke
Men's/Women's 100 Breaststroke	for	Men's/Women's 50 Breaststroke

Example: For the men's 50 Butterfly, the first entry will be offered to the winner of the 50 Fly at the Nationals, provided that he/she is selected to the Team. The second entry will be offered to the Available Swimmer with the fastest time in the 100 Fly at the Qualifying Competitions, provided the first entry and the second entry have met the A Time Standard.

(b) If there is a vacancy in an Individual Non-Olympic Event that arises on or after 11:59 a.m. MT on June 1, 2019, the replacement process set forth in Section 4 will be used to fill the vacancy in that Individual Non-Olympic Event.

#### 2 DISCRETIONARY SELECTION

2.1 Provide rationale for utilizing discretionary selection (if any):

All swimmers who are Selected to the Team shall be eligible for selection to any and all relays to be held at the Championships provided that Relay-Only Swimmers must achieve the B Time Standard for the event within the relay in which they will be selected to compete. (Example: the Relay-Only Swimmer in the 4 x 200m Freestyle Relay must have a B Time Standard in the 200m Freestyle). The Head Coach in consultation with the National Team Managing Director, Technical Advisor and the assistant coaches, shall select the swimmers for each relay conducted at the Championships. In selecting the relay teams, the Head Coach, National Team Managing Director, Technical Advisor and assistant coaches shall consider all available relevant information, including but not limited to each Team member's Qualifying Competitions performances (including preliminary times, time trials, swimoffs and relay lead-off times), past relay performances, past relay reaction times, relay exchange experience, Championship performances, personal best performances, Championship event schedules, individual workload, other recent results and performances, consistency of performances, fatigue, health, fitness levels, training preparation, participation in relay training camps, scientific evaluation, attitude and team chemistry.

2.2 List the discretionary criteria and explain how they will be used (if any):

See Section 2.1

2.3 Provide the name of the committee that will be responsible for making discretionary selections, along with a complete list of the members' titles currently serving on the committee:

See Section 2.1

2.4 Specify the process that will be used to identify and handle any potential conflicts of interest involving a member of the committee:

In regard to relay selection, if the National Team Managing Director, Technical Advisor, or any of the Head Coaches or assistant coaches has a possible conflict of interest, he/she must disclose it. However, if such individual has relevant and necessary information with respect to athlete performance, he/she may provide such information, so long as it is provided in a fair manner.

#### 3 REMOVAL OF ATHLETES

3.1 An athlete who is to be Selected to the Team by USA Swimming may be removed for any of the following reasons, as determined by USA Swimming's National Team Managing Director in conjunction with the Technical Advisor. An athlete who is to be removed from the Team or an Event or from eligibility to be Selected to the Team, pursuant to the following provisions, has the right to a hearing per the USA Swimming

Bylaws (usaswimming.org), the USOC's Bylaws, Section 9, or the applicable antidoping code/protocol before such removal or declaration of ineligibility.

- 3.1.1 An athlete may choose to voluntarily withdraw from an Event or the Team. The athlete must submit in writing any withdrawal to the National Team Managing Director. An athlete considering withdrawal is strongly encouraged to submit the written withdrawal by April 1, 2019.
- 3.1.2 USA Swimming may remove an athlete who has an injury or illness, as certified by the physician for the Championships, that is likely to keep him or her from competing at peak levels in the Championships. If an athlete refuses verification of his/her illness or injury by the approved Championships' physician (or his/her medical staff), his/her injury will be assumed to keep him or her from competing at peak levels in the Championships and he/she may be removed.
- 3.1.3 An athlete may be removed if the National Team Managing Director, in consultation with the Technical Advisor, Head Coaches, the USA Swimming Steering Committee Chairman and the USA Swimming Athletes' Vice Chair, determine that the swimmer is unable or unwilling to maintain a training regimen that is necessary for peak performance in the Championships. (See Participation and Commitment Agreement, which is included in Attachment B.)
- 3.1.4 Any swimmer may be dismissed from the Team at any time if the swimmer violates any of the applicable FINA, USA Swimming or other rules and regulations applicable to the Team or its members, including without limitation applicable Codes of Conduct or Honor Codes.
- 3.1.5 If a swimmer is alleged to have committed an anti-doping rule violation, that swimmer shall remain on the Team (or remain eligible to be Selected to the Team) until: (i) the swimmer is provisionally suspended or declared ineligible after a hearing conducted pursuant to the U.S. Anti-Doping Agency Protocol for Olympic Movement Testing or by FINA; (ii) the swimmer voluntarily accepts a provisional suspension or sanction of ineligibility; or (iii) the swimmer voluntarily withdraws from the Team or relinquishes eligibility to be Selected to the Team.

#### 4 REPLACEMENT OF ATHLETES

4.1 Describe the selection and approval process for determining replacement athlete(s) should a vacancy occur:

The pool of potential replacement athletes includes those individuals who meet the criteria set forth in this Section 4.

The next Available Swimmer from the Qualifying Competitions will be a considered replacement swimmer, however, he or she must satisfy the applicable A or B Time Standard, as described in Section 1.3.4 and is subject to the limitation on the number of Relay-Only Swimmers as described in Section 1.3.5 and the limitations set on the size of the male or female Teams, as provided in Section 1.3.1, before he/she can be Selected to the Team.

- 4.1.1 Adjustments to the Team and Events from the time of Selection to the Team (on or before October 1, 2018) through July 12, 2019:
  - (a) If there is a vacancy in an Event that occurs between October 1, 2018 and July 12, 2019, USA Swimming may replace an athlete on the Team for an Event based on the following procedure; however, no swimmer who is already on the Team may be taken off the Team as a result of the application of this procedure.
  - (b) Filling Vacant Event Positions with Team Vacancy.
    - (i) Filling Vacant Event Positions in All Events Other Than 100m and 200m Freestyle.

For all Events except the 100m or 200m Freestyle events, if the Team is not full (fewer than twenty-six (26) swimmers per gender) after a swimmer declines a position in an Event for any reason, or Event positions otherwise become vacant, then the vacancy in any such Event(s) shall be filled by the next fastest Available Swimmer in that Event. If the next fastest Available Swimmer is already on the Team, and he/she agrees after consulting with the Head Coach, Technical Advisor, and National Team Managing Director to swim in the additional Event, then he/she shall serve as the replacement in that Event. If he/she declines to swim in the additional Event, then he/she shall not be considered an Available Swimmer for that Event, and the next fastest Available Swimmer will be considered. If the next Available Swimmer is not already on the Team, then that swimmer will be selected according to Section 1.3.3. If adding the next Available Swimmer from each Event left vacant would cause the Team to exceed the maximum number (twentysix (26) per gender), then those who qualify as the next fastest Available Swimmer in the Events left vacant shall be ranked according to the procedure described in Section 1.3.8. Those ranked highest according to that procedure shall be added to the Team in order until the Team reaches twenty-six (26) per gender, and any remaining Event vacancy will be filled from within the Team pursuant to Section 4.1.1(b)(iii) below.

(ii) Filling Vacant Positions in 100m or 200m Freestyle with Team Vacancy.

If a vacancy occurs in the 100m or 200m Freestyle individual Event, the next highest Available Swimmer already on the Team will compete in the individual Event, provided that he/she has satisfied the A Time Standard (and the highest-finishing Available Swimmer has also met the A Time Standard) as well as any other applicable requirements set forth in these Selection Procedures, and no additional swimmer will be added to the Team. If the vacancy occurs due to the withdrawal of a Relay-Only Swimmer, then a replacement will be selected according to the procedures set forth in Section 4.1.1(b)(v) below.

(iii) Filling Vacant Event Position with No Team Vacancy.

If the Team is still full (twenty-six [26] per gender) after the withdrawal from an Event, the vacancy in any Event(s) will be filled by an Available Swimmer already on the Team. First priority will be given to the next Available Swimmer with the fastest time from the Finals at the Qualifying Competitions. If no swimmer already on the Team swam the Event at the Qualifying Competitions, then the Available Swimmer on the Team with the highest World Ranking in the Event will be selected; provided, however, that the replacement swimmer must agree, after consulting with the Head Coach, Technical Advisor and National Team Managing Director, to compete in the additional Event. If the replacement swimmer does not agree to swim in the additional Event, then this process will repeat until the vacant Event is filled.

(iv) Filling Individual Non-Olympic Events.

Should one or more swimmers decline the opportunity to swim the Individual Non-Olympic Events, the unfilled position(s) shall, after consultation between the swimmer, Head Coach, National Team Managing Director and the Technical Advisor, be filled by the existing Team member(s) who has swum the fastest time (and the second-fastest time if two positions in the individual non-Olympic event are to be filled) in such event from March 1, 2018 through and including June 1, 2019. If vacant Individual Non-Olympic Event positions are still not filled, those positions shall be filled from among other swimmers already on the Team at the discretion of the Head Coach, in consultation with the assistant coaches, the National Team Managing Director and Technical Advisor.

(v) Relay-Only Swimmer Vacancy.

If the vacancy occurs because a Relay-Only Swimmer is no longer on the Team or withdraws from a position in a relay Event, then the following procedure will be used:

- (1) If there is a vacancy available for a Relay-Only Swimmer pursuant to Sections 1.3.1 and 1.3.5 above, then the next Available Swimmer with the fastest time in the Finals from the Qualifying Competitions from the Event in which the vacating swimmer qualified for the Team will be added to the Team. For example, if only five Relay-Only Swimmers were on the Team, and if the sixth-place finisher in the 100m freestyle were to leave the Team, then the seventh-place finisher in the 100m freestyle would be added to the Team, provided that he/she is an Available Swimmer.
- (2) If there are no vacancies available for Relay-Only Swimmers pursuant to Sections 1.3.1 and 1.3.5 above, then no swimmers will be added to the Team.
- 4.1.1. Adjustments to the Team and Events after submission of Entries by Name to the FINA:

- (a) After July 12, 2019, no swimmers will be added to the Team, and the Available Swimmer already on the Team who has recorded the fastest time in a vacant Event during the period from March 1, 2018, through July 12, 2019, will serve as the replacement in that Event; provided, however, that the replacement must agree, after consulting with the Head Coach, Technical Advisor and National Team Managing Director, to compete in the vacant Event. If the replacement does not agree to swim in the vacant Event, then this process will repeat until the vacant Event is filled.
- (b) The FINA Technical Meeting for swimming at the Championships is scheduled to be held on the morning (local time) of July 20, 2019. Once that Technical Meeting has been completed, FINA historically has not allowed replacements in Events. Therefore, it is highly likely that no replacements will be allowed in any Event once the FINA Technical Meeting is completed.

#### 5. SUPPORTING DOCUMENTS

USA Swimming will retain the approved Selection Procedures and all supporting documents, including scouting or evaluation forms, etc., and data from the selection process for six months past the date of the conclusion of the Championship.

#### 6. PUBLICITY/DISTRIBUTION OF PROCEDURES

The Selection Procedures (complete and unaltered) will be posted/published by USA Swimming in the following locations:

**USA** Swimming website

#### 7. DATE OF SELECTION

The Selection of Athletes form will be published on the USA Swimming website on or before October 1, 2018.

#### 8. MANDATORY TRAINING AND/OR COMPETITION

Specify the location, schedule and duration of mandatory training and/or competition:

<u>Event</u>	<u>Date</u>	<u>Site</u>
Training Camp	July 6-8, 2019	San Francisco, CA
Training Camp	July 9- 17, 2019	Kobe, Japan
International Training	July 17-20, 2019	Gwangju, South Korea
Competition	July 21-28, 2019	Gwangju, South Korea
Return home	July 29, 2019	

#### 9. ANTI-DOPING REQUIREMENTS

Athletes must adhere to all, WADA, FINA, USADA and USOC anti-doping protocols, policies and procedures, as applicable. This includes participation in Out-of-Competition Testing as required by the WADA, FINA, USADA and USOC Rules, as applicable.

#### 10. USA SWIMMING BYLAWS AND GRIEVANCE PROCEDURES

USA Swimming Bylaws and Grievance Procedures can be found at: usaswimming.org

#### 11. DISCLAIMER

These procedures are based on FINA rules and regulations, as applicable and as presently known and understood. Any change in the Selection Procedures caused by a change in FINA rules and regulations will be distributed to the affected athletes immediately. The Selection Procedures are based on the latest information available to USA Swimming. However, the Selection Procedures are always subject to unforeseen, intervening circumstances, and realistically may not have accounted for every possible contingency.

If any force of nature, or force majeure, should cause the altercation or cancellation of any of the selection events listed in this document, these Selection Procedures will be revised

The terms and conditions of these written Selection Procedures shall take priority over any verbal explanation, clarification or interpretation of the Selection Procedures, and an athlete relies on a verbal explanation, clarification or interpretation of these Selection Procedures <u>at his or her sole risk</u>. Any request or question that would involve a formal clarification or interpretation of these Selection Procedures, or that would address an issue not otherwise covered in these Selection Procedures, should be submitted to the National Team Managing Director at USA Swimming <u>in writing</u> by no later than June 1, 2018, and the response to such request by USA Swimming must be provided in writing and, where appropriate, must be published promptly on the USA Swimming website.

An athlete who is Selected to the Team by virtue of an error or oversight by USA Swimming may be removed from the Team at any time.

## ATTACHMENT A See additional documentation

#### ATTACHMENT B

### **USA SWIMMING**

#### 2019 WORLD CHAMPIONSHIP PARTICIPATION AND COMMITMENT

I agree to abide by the Olympic Team USA Swimming Athlete Selection Procedures, World Championship Team camps(s) and competition requirements, competition protocols, the Honor Code and the USA Swimming Code of Conduct.

I agree to fully commit to a comprehensive training program designed for a competitive performance at the 2019 World Championships. If for any reason I become unable to fulfill this commitment, I will immediately notify the National Team Managing Director.

I understand that I may be removed from the 2019 World Championships if circumstances interfere with my preparation for peak performance.

I give USA Swimmi Championship Star Yes	ff use:	to give out my contact information for World
	ng National Team Division permission  off use:YesNo	to give out my contact information for internal
Name	Signature Date	Printed
If under 18:		
Name	Parent Signature Date	Parent Printed



#### **MEMORANDUM**

2017-2021

President DR. JULIO MAGLIONE Uruguay TO: ALL FINA MEMBER FEDERATIONS

First Vice President HUSAIN AL MUSALLAM FINA, Lausanne

Second Vice President SAM RAMSAMY 13 April 2018

Honorary Treasurer PIPAT PANIANGVAIT Thailand QUALIFYING PROCEDURE FOR THE

18th FINA WORLD CHAMPIONSHIPS - GWANGJU 2019

Vice Presidents

South Africa

Dear Sirs, Dear Ladies,

FROM:

DATE:

RE:

PAOLO BARELLI

Italy

DENNIS MILLER Fiji We have the pleasure to forward to you this correspondence related to the Swimming Competitions Qualification Procedure for the 18<sup>th</sup> FINA World Championships to be held in Gwangju (KOR). The swimming events will take place from 21-28 July 2019.

DALE NEUBURGER United States of America

As done for previous FINA World Championships, a qualifying procedure with "A" and "B" standard times will be implemented for Gwangju 2019.

Member

TAHA AL KISHRY Oman KHALEEL AL-JABIR

ALGERNON CARGILL

Bahamas

ERROL CLARKE

Barbados
DIMITRIS DIATHESOPOULOS

DR. MOHAMED DIOP

Senegal

MATTHEW DUNN Australia

ZOUHEIR EL MOUFTI Morocco

MARIO FERNANDES

TAMAS GYARFAS

Hungary
ANDREY KRYUKOV

Kazakhstan

DR. MARGO MOUNTJOY

Canada

JUAN CARLOS ORIHUELA GARCETE Paraguay

PENELOPE HEYNS

South Afric

DR. DONALD RUKARE Uganda

VLADIMIR SALNIKOV Russia

DAVID SPARKES

Great Britain

DAICHI SUZUKI

ERIK VAN HEIJNINGEN Netherlands

JIHONG ZHOU

China

Honorary Life President
MUSTAPHA LARFAOUI
Algeria
Executive Director
CORNEL MARCULESCU

Please see the information below:

#### 1. FINA "A" & "B" SWIMMING QUALIFYING TIME STANDARDS:

WOMEN			M	MEN	
Α	В	Events	Α	В	
25.04	25.92	50m Freestyle	22.18	22.96	
54.49	56.40	100m Freestyle	48.80	50.51	
1:58.66	2:02.81	200m Freestyle	1:47.40	1:51.16	
4:10.57	4:19.34	400m Freestyle	3:48.15	3:56.14	
8:38.56	8:56.71	800m Freestyle	7:54.31	8:10.91	
16:32.04	17:06.76	1500m Freestyle	15:07.38	15:39.14	
28.22	29.21	50m Backstroke	25.17	26.05	
1:00.59	1:02.71	100m Backstroke	54.06	55.95	
2:11.53	2:16.13	200m Backstroke	1:58.34	2:02.48	
31.22	32.31	50m Breaststroke	27.39	28.35	
1:07.43	1:09.79	100m Breaststroke	59.95	1:02.05	
2:25.91	2:31.02	200m Breaststroke	2:11.00	2:15.59	
26.34	27.26	50m Butterfly	23.66	24.49	
58.48	1:00.53	100m Butterfly	51.96	53.78	
2:09.21	2:13.73	200m Butterfly	1:56.71	2:00.80	
2:13.03	2:17.69	200m Individual Medley	2:00.22	2:04.43	
4:43.06	4:52.97	400m Individual Medley	4:17.90	4:26.93	

FINA Office: Ch. de Bellevue 24a / 24b 1005 Lausanne, Switzerland Phone: +41 21 310 47 10 Fax: +41 21 312 66 10 www.fina.org SPORT IN THE OLYMPIC PROGRAMME SPORT AU PROGRAMME OLYMPIQUE



#### FÉDÉRATION INTERNATIONALE DE NATATION

2017-2021

President DR. JULIO MAGLIONE Uruguay

First Vice President HUSAIN AL MUSALLAM Kuwait

Second Vice President SAM RAMSAMY South Africa

Honorary Treasurer PIPAT PANIANGVAIT Thailand

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> ERROL CLARKE Barbados

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Great Britain

DAICHI SUZUKI

ERIK VAN HEIJNINGEN Netherlands

Netherlands
JIHONG ZHOU

Honorary Life President MUSTAPHA LARFAOUI Algeria

Executive Director CORNEL MARCULESCU

1 Team	4 x 100 m Freestyle	1 Team
1 Team	4 x 200 m Freestyle	1 Team
1 Team	4 x 100 m Medley	1 Team

4 x 100 m Freestyle Mix	1 Team
4 x 100 m Medley Mix	1 Team

#### Please note:

- If a National Federation enters only one (1) swimmer per event all swimmers entered must meet the qualifying "B" standard time;
- If two (2) swimmers are entered in the same event, both of them must meet the qualifying "A" standard time;
- Federations may enter swimmers regardless of standard times if they meet the following criteria (as per FINA Rule BL 9.3.6.2) https://www.fina.org/content/fina-rules:
  - No swimmer qualified: two (2) men and two (2) women;
  - One (1) or two (2) swimmers qualified, additional swimmers up to a maximum of four (4) swimmers (two (2) men and two (2) women);
  - Three (3) swimmers qualified one (1) additional swimmer provided that both genders are represented:
  - Swimmers entered without qualifying time must have participated in the National Championships of the Federation or in a FINA approved qualifying event. These swimmers will be allowed to enter in two (2) different events each, but they cannot swim in the same event, p.a. 50 m Freestyle;

#### Gender Relays:

- Men & Women: 4x100m Freestyle, 4x200m Freestyle, 4x100m Medley One (1) Relay per Federation;
- Mixed Relays: 4x100 m Freestyle, 4x100m Medley One (1) Relay per Federation.

#### 2. QUALIFYING PERIOD

The swimming qualifying time standards must be obtained at any of the competitions specified below during the following period:

#### 1st March 2018 - 13th July 2019

#### 3. QUALIFYING EVENTS

- # Continental Championships 2018 and 2019
- # International Competitions, to be approved in advance by FINA
- # National Competitions, to be approved in advance by FINA

FINA will only accept entry times achieved at competitions officially approved by FINA. Please refer also to FINA Rule BL 12 <a href="https://www.fina.org/content/fina-rules">https://www.fina.org/content/fina-rules</a>.



2017-2021

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JIHONG ZHOU

Chin

Honorary Life President MUSTAPHA LARFAOUI Algeria

Executive Director CORNEL MARCULESCU

#### 4. APPLICATION FOR QUALIFYING EVENTS

In order to register an International Competition or a National Competition as a Qualifying Meet for swimmers to achieve the FINA "A" and "B" Qualifying Standard Times, please fill out the attached "Application Form" and return it to the FINA Office in Lausanne (SUI) <u>as soon as possible</u> taking into account the following:

- All competitions must be approved <u>before</u> they take place;
- NO APPLICATION FOR A NATIONAL AND/OR INTERNATIONAL COMPETITION WILL BE CONSIDERED AFTER 31<sup>st</sup> DECEMBER 2018.

We would like to draw your attention to the following:

- It is very important to indicate on the "Application Form" the <u>INFORMATION ON THE TIMING SYSTEM</u> to be used at the competitions to be organised by the National Federations where the swimmers could achieve the FINA "A" and "B" Qualifying Standard Times.
- FINA will only recognise swimming competitions where Referees and Starters included on the FINA Swimming Officials Lists No. 18 and No. 19 are officiating for competitions held in 2018 and FINA Swimming Officials Lists No. 19 and No. 20 for competitions held in 2019.
- In order for FINA to endorse the Swimming Qualifying Time Standards achieved at approved competitions, the National Federation / Organiser must send to the FINA Office in Lausanne (SUI) the official results in an electronic file (either Lenex or Hy-Tek cl2/sdif) <a href="IMMEDIATELY">IMMEDIATELY</a> AFTER THE CONCLUSION OF THE COMPETITION.

Please do not hesitate to contact the FINA Office for any additional information or assistance you may need concerning the qualification procedure for the 18<sup>th</sup> FINA World Championships – Gwangju 2019.

Yours Sincerely,

Cornel Marculescu Executive Director

CM/ad

FINA Office: Ch. de Bellevue 24a / 24b 1005 Lausanne, Switzerland Phone: +41 21 310 47 10 Fax: +41 21 312 66 10 www.fina.org

