

USA SWIMMING
ATHLETE SELECTION PROCEDURES
2016 FINA SHORT COURSE WORLD CHAMPIONSHIPS
December 6-11, 2016
Windsor, Canada

The following common definitions shall apply to these Athlete Selection Procedures:

- A. Available Swimmer "Available Swimmer" means a swimmer who, at the time of selection and through the Championships: (i) is a registered athlete member of USA Swimming in good standing, (ii) is a citizen of the United States who is eligible to represent the United States at the Championships, (iii) qualifies for the Team in an Event according to these Procedures, (iv) satisfies all the FINA, World Anti- Doping Agency (WADA), United States Anti-Doping Agency (USADA) and USA Swimming applicable eligibility requirements, (v) accepts the invitation to become a member of the Team when that invitation is offered by USA Swimming, (vi) agrees to swim in the Event for which he/she qualifies, and (vii) continues to train for peak swimming performance through the Championships. If a swimmer does not satisfy these requirements, then he/she is not an Available Swimmer.
- B. Championships "Championships" means the 2016 Short Course World Championships that will be conducted in Windsor, Canada on December 6-11, 2016.
- C. Event "Event" refers to competitions held in short course meters at the Championships.
- D. Head Coach Unless otherwise indicated, "Head Coach" refers to the head coach of the Team for each gender.
- E. National Team Director "National Team Director" refers to the USA Swimming National Team Director, or his designee.
- F. Professional or Post Grad Athletes "Professional or Post Grad Athletes" refers to an athlete who has exhausted his/her NCAA eligibility or has relinquished any future NCAA eligibility.
- G. Qualifying Times. "Qualifying Times" shall mean any times swum: (i) in the semifinals or finals at the 2016 U.S. Olympic Team Trials, Swimming; (ii) at the 2016 Olympic Games; (iii) in the A or B final at the 2016 USA Swimming U.S. Open; (iv) in the A or B final at the 2016 Speedo Junior Nationals; and (v) in the A or B final at the 2016 Junior Pan Pacific Championships. Relay lead-offs, time trials, swim-offs and intermediate splits from any of these events will not be considered for Qualifying Times, but may be considered in making other determinations as described herein.

- H. Team "Team" shall mean the 2016 Short Course World Championship Team announced on or before October 15, 2016.
2. **Selection Eligibility.** To be eligible for selection to the Team, at the time of selection, a swimmer must be an Available Swimmer (as defined above).
3. **Additional Requirements for Selection.**
- A. All swimmers selected to the Team must comply with all applicable rules and requirements of FINA, WADA, USADA, and USA Swimming.
 - B. Each swimmer selected to the Team must sign and abide by the USA Swimming 2016 Competition forms (See Attachment A) and conform to all USA Swimming policies and protocols regarding Team preparation and participation;
 - C. Each swimmer must provide documentation, signed by the athlete and the coach, which states the swimmer has been actively training and competing since September 1, 2016.
 - D. Professional or Post Grad Athletes must be a member of the USA Swimming Team for the USA Swimming vs. Big Ten competition in November 2016 or compete in a 2016 FINA World Cup event prior to the selection of the Team.
 - E. Each swimmer selected to the Team must agree to be filmed and photographed by the official photographer(s) and network(s) of USA Swimming under the conditions authorized by USA Swimming and give USA Swimming the right to use his or her name, picture, likeness, and biographical information to promote the sport of swimming.
4. **Team Selection- Making and Filling the Team.**
- A. Team Size. The maximum allowable Team size is up to twenty- six (26) men and twenty-six (26) women. The actual Team size will be determined once the selection criteria have been implemented. For purposes of these Procedures, the men's and women's components of the Team will be considered separately.
 - B. Performance Criteria for Team Selection.
The selection of the Team will be at the discretion of the National Team Director and the Head Coach in order to field the best team possible. Athletes who have a Qualifying Time in any Event will be considered for selection for that Event. Selection will be based on, but not be limited to: personal fitness, personal best performances, individual workload, other recent results and performances, consistency of performances, fatigue, health, fitness levels, training preparation, and attitude and team chemistry. In addition physical and mental preparation of athletes in conjunction with their international and national experience, strategy of the sport, ability to swim multiple events, including relay events and need to fill the team in order to win the Championships and the gold medal count at the Championships may be used for selection to the Team.

5. **Adjustments to the Team between Selection of Team and October 27, 2016.** If, for any reason, a position, or Event, on the Team shall become vacant between the naming of the Team (October 15, 2016) and the date and time USA Swimming submits its final roster (October 27, 2016 10:00am MST), such vacancy shall be filled by the National Team Director and the Head Coach pursuant to those criteria set forth in Section 4.B., provided, however, that nothing in this Section shall have the effect of bumping off the Team a swimmer who has already been selected to the Team.

6. **Adjustments to the Team after October 27, 2016.** If, for any reason, an additional Team position or an additional Event position shall become vacant after 10:00am MT, on October 27, 2016, no additional members shall be added to the Team. In the Event that USA Swimming is permitted to fill a vacant Event position, such vacant Event position shall be filled by a swimmer already on the Team. The selection of the replacement swimmer will be made pursuant to section 4 B above. However, the replacement swimmer must agree, after consulting with the Head Coach and National Team Director, to compete in the additional Event. If the replacement swimmer does not agree to swim in the additional Event, then the replacement swimmer shall not be considered an Available Swimmer for that Event. This process shall repeat until the Event is filled.

7. **Selection of Swimmers for Individual Events.**

Athletes will be selected in each of the Events based on the criteria above for two (2) athletes per Event (unless the swimmer chooses not to swim in that Event or is replaced pursuant to these Procedures, in which case the next Available Swimmer in that Event on the Team will swim the event at the Championships).

Swimmers for non-Olympic Events must have a Qualifying Times in the corresponding Olympic Event (for example, to be considered for the 100m Individual Medley an athlete must have a Qualifying Time in the 200m Individual Medley, to be considered in the 50m –backstroke an athlete must have a Qualifying Time in the 100m backstroke).

The swimmers in the men's 400m and 1500m freestyle who have the highest World Ranking as of September 1, 2016 will be offered the first opportunity to swim the men's 800m Freestyle. If this results in a tie, the swimmer among the tied swimmers with the highest World Ranking in the 800m freestyle shall first be offered the opportunity.

Swimmers in the relays will be determined according to Section eight (8) below.

8. **Selection of Swimmers for Relay Events.** The Head Coach, in consultation with the assistant coaches and National Team Director, shall select the swimmers for each relay conducted at the Championships. In selecting the relay teams, the Head Coach, in consultation with the assistant coaches and National Team Director, shall consider all available and relevant information, including but not limited to each Team Member's past relay performances, past relay reaction times, relay exchange experience, Championships performances, personal best performances, Championships schedule, individual workload, other recent results and performances, consistency of performances, fatigue, health, fitness levels, training preparation, and participation in relay training camps, scientific evaluation, and attitude and team chemistry. Selection of swimmers for relays shall not be subject to challenge.

9. Dismissal from Team.

Any swimmer may be dismissed from the Team at any time following an appropriate hearing if (i) the swimmer violates any of the applicable FINA, WADA, USADA, USA Swimming or other rules and regulations applicable to the Team or its members, including without limitation applicable Codes of Conduct; or (ii) the National Team Director, in consultation with the Head Coach, the USA Swimming Steering Committee Chair and the USA Swimming Athletes' Executive Vice President, determines that the swimmer is unable or unwilling to maintain a training regimen that is necessary for peak performance in the Championships.

If a violation is during a team competition or camp and is deemed detrimental to the team, the National Team Director or his designee and the Head Coach reserve the right to dismiss an athlete from the team during the competition or camp, following an appropriate hearing, which may be conducted according to an expedited procedure.

If a swimmer is alleged to have committed an anti-doping rule violation, that swimmer shall remain on the Team (or remain eligible to be named to the Team) until: 1) the swimmer is provisionally suspended or declared ineligible after a hearing conducted pursuant to the U.S. Anti-Doping Agency Protocol for Olympic Movement Testing or by FINA; 2) the swimmer voluntarily accepts a provisional suspension or sanction of ineligibility; or 3) the swimmer voluntarily withdraws from the Team or relinquishes eligibility to be named to the Team.

Confidentiality regarding alleged anti-doping rule violations shall be maintained as provided in the U.S. Anti-Doping Agency Protocol for Olympic Movement Testing.

10. Withdrawal from Team.

Any swimmer may withdraw from the Team at any time due to injury or illness, as certified by a USA Swimming Medical Staff member in consultation with USA Swimming (National Team Director, Head Coach and USOC Athlete Advisory Committee Representative), which prevents the swimmer from full participation in and/or preparation for the Championships.

USA Swimming may remove an athlete who has an injury or illness, as certified by the approved Team physician that is likely to keep him or her from competing at peak levels in the Championships. If an athlete refuses verification of his/her illness or injury by the approved Team physician, his/her injury will be assumed to keep him or her from competing at peak levels in the Championships, and he/she may be removed from the Team.

11. Challenge.

Any challenge to these Procedures or any decision made pursuant to or in connection with these Procedures shall be handled as follows: 1) if such challenge relates to an alleged violation of a technical rule, it shall be handled according to the procedure set forth in Part One of the USA Swimming Rules and Regulations (which can be found at www.usa-swimming.org); 2) if such challenge relates to an alleged violation of the National Team Honor Code, it shall be handled according to the procedure set forth in the USA Swimming Steering Committee Policy Manual which can be obtained from the

National Team Division; and 3) if such challenge does not relate to an alleged violation of a technical rule or an alleged violation of the National Team Honor Code, it shall be handled according to USA Swimming's Hearing Procedure set forth in Part Four of the USA Swimming Rules and Regulations. Any hearing required in order to resolve a challenge shall take place on an expedited basis if necessary in order to resolve the challenge in sufficient time for Team selection or to determine eligibility for a competition, camp or other training event.

12. Date of Entry Submission.

USA Swimming will submit all entries for the Championships at 10:00 am MT, on October 27, 2106.

13. Assembly, Training and Competition

Athletes nominated to the Team will be required to participate in assembly, training and competition, and remain with the Team throughout the Championships. Assembly will take place on December 3. Specific dates/locations of mandatory training and competition are:

<u>Site</u>	<u>Dates</u>	<u>Event</u>
Chicago, IL	December 3	Travel to Canada
Windsor, Canada	December 3-6	Training in Windsor
Windsor, Canada	December 6-11	World Championships Competition
	December 12	Return home

14. Distribution of Procedures

These Procedures, when approved, will be published on the USA Swimming website, usaswimming.org.

15. International Disclaimer

These selection criteria are based on the latest information available to USA Swimming and are based on FINA and USA Swimming rules and regulations as presently known and understood. Any change in the selection procedures caused by a change in FINA or USA Swimming rules and regulations or other unforeseen circumstances will be distributed to the affected athletes immediately.

ATTACHMENT A
USA Swimming National Team
PARTICIPATION and COMMITMENT AGREEMENT
2016 Short Course World Championships

I have read the participation and selection requirements for the 2016 Short Course World Championships and I agree to abide by these as outlined. I agree to abide by the team selection criteria, camp and competition requirements, competition protocols, and the National Team honor code.

If for any reason I become unable to fulfill this commitment, I will immediately notify the National Team Director/National Team Managing Director.

- If selected, I accept a position on the 2016 Short Course World Championship Team:
yes ____ no ____.

I give USA Swimming National Team Division permission to give out my contact information for internal USA Swimming Staff use (i.e. Media, Foundation, etc.) and for Trip Head Manager and Physician use: yes _____ no _____.

Name: _____

(please print)

Signature: _____ **Date:** _____

Best # to reach me: _____ / _____ - _____

TEAM DECLINE FORM

- I decline a position on the 2016 Short Course World Championship Team:
yes ____ no ____.

Name: _____

(please print)

Signature: _____ **Date:** _____

Coach Name: _____

(please print)

Coach Signature: _____ **Date:** _____

USA SWIMMING

NATIONAL TEAM HONOR CODE

As a participant for the (the “Event”) for which I am selected by USA Swimming to attend, I understand and will comply with the following guidelines as set forth by USA Swimming and the Olympic International Operations Committee regulations at all times during each Event.

1. The possession or use of alcohol, tobacco products or controlled substances by any athlete or staff member participating in the Event is prohibited throughout the duration of the trip (until the team has officially disbanded).
2. Curfews established by the staff will be adhered to each day of the training camp and at the competition.
3. Team members and staff will attend all team functions including meetings, practices, exhibitions, press conferences, competitions, etc., unless otherwise excused or instructed by the head coach or National Team Director or other person designated by USA Swimming, provided that, in no event shall any such team function be commercial in nature or related to the advertising or promotion of any third party, organization, products or services, including, without limitation, any Event sponsor (including, without limitation any USA Swimming sponsor), partner and/or exhibitor.
4. To ensure the propriety of the athletes and to protect the staff, there will be no male athletes in female athlete’s rooms, and no female athletes in male athlete’s rooms. There will be a team room provided for relaxation and recreation whenever possible.
5. Team members and staff will comply with USA Swimming and United States Olympic Committee uniform requirements. Details of this policy will be further explained at the beginning of the training camp or competition.
6. Team members and staff will refrain from any illegal or inappropriate behavior that would detract from a positive image of USA Swimming and its Event participants or be detrimental to its performance objectives.
7. Team members including staff will display proper respect and sportsmanship toward teammates, coaches, officials, administrators, fellow competitors and the public.
8. Each swimmer must agree to be filmed and photographed by the official photographer(s) and network(s) of USA Swimming, and must agree to the non-commercial use of his or her name, picture, likeness, voice, and biographical information as filmed, videotaped and photographed at the Event (“Likeness”) by USA Swimming, in any and all non-commercial media, related to (owned by) USA Swimming. The foregoing authorization specifically includes the use of the Likeness for the non-commercial purpose of advertising and promoting the Event prior to and during, the Event, but specifically excludes the use of the Likeness in connection with the advertising or promotion of any third party, organization, products or services, including, without limitation, any Event sponsor (including, without limitation any USA Swimming sponsor), partner and/or exhibitor. In no event may USA Swimming use or authorize any commercial use of the Likeness. Any proposed use of the Likeness shall be submitted to the swimmer for his or her review and approval prior to any such use.

9. Any additional guidelines for the participants in the Event will be established as needed by the National Team Director or other person designated by USA Swimming.

1. IMPLEMENTATION:

1. All team and staff shall be apprised in writing of this policy. Signature of this document constitutes unconditional agreement to comply with Honor Code and Code of Conduct of USA Swimming.
2. USA Swimming shall have the right to establish and use one or more systems to determine if all aspects of the policy have been followed by team and staff members.
3. Failure to comply with the Honor Code as set forth in this document may result in disciplinary action.
4. Disciplinary actions will be reviewed by the two head coaches, elected team captains and the National Team Director or their designee.

Any appeal following any disciplinary action shall be in accordance with Part Four of USA Swimming Rules and Regulations

Such discipline may include, but is not limited to: 1) Dismissal from the team and immediate return home at the athlete's expense; 2) Disqualification from one or more events, or all events of competition; 3) Disqualification from future USA Swimming and international competition; 4) Financial penalties.

_____ Signature _____ Printed
Name _____ Date

If under 18:

_____ Parent Signature _____ Parent Printed
Name _____ Date

USA Swimming National Team

NATIONAL TEAM PROTOCOL

1. Swimmers are expected to stay focused on swimming fast and minimize walking, sunbathing, sightseeing, etc. until they have finished their last event.
2. All swimmers are required to attend each finals session through the last awards ceremony, unless excused by the Head Coach or National Team Director/National Team Managing Director.
3. When not preparing for their own events, swimmers are expected to remain in the team area to support their teammates.
4. Team Uniform Caps as prescribed by the National Team Director must be worn during competition, which must be approved by FINA and USA Swimming. All technical gear must be approved by FINA and USA Swimming.
5. When applicable, USOC issued dress sweats must be worn when receiving an award or during USOC organized press conferences. **ARENA sweats and/or USA Swimming issued apparel must be worn at all other times on deck or during official team functions.** (Athletes may wear the apparel of their choice during their personal time.)
6. No hats or head coverings should be worn when receiving awards.
7. All team members will be expected to demonstrate proper respect for the American flag and the national anthem.
8. After a swimmer has swum his/her last event, attendance at all remaining sessions (including preliminaries) is required.
9. All USAS National Team, National Junior Team, or "Event" team members will be required to maintain the desired level of conditioning for optimum performances, as determined by USAS.

Name: _____

Signature: _____

Date: _____

